# - BEFORE THE PUBLIC SERVICE COMMISSION OF UTAH -

#### ISSUED: June 26, 2014

In this docket, which Qwest Corporation d//b/a CenturyLink QC ("CenturyLink") filed on June 20, 2014, CenturyLink has requested that the Commission issue a Protective Order to facilitate the disclosure of documents and information during the course of this proceeding and to protect confidential information and highly-confidential information. This Protective Order is consistent with Protective Orders issued by the Commission in Docket Nos. 06-049-40, 07-049-30, 08-049-29, 10-049-22 and 13-049-18. The Commission finds that sufficient grounds exist for entry of the following Protective Order in this docket.

WHEREFORE, the Commission now issues this Protective Order ("Order"), and this Order will govern these proceedings.

1. (A) <u>Confidential Information</u>. All documents, data, studies and other materials furnished pursuant to any requests for information, subpoenas or other modes of discovery (formal or informal), and including depositions, and other requests for information, that are claimed to be proprietary or confidential (herein referred to as "Confidential Information"), shall be so marked by the providing party by stamping the same with a "Confidential" designation. In addition, all notes or other materials that refer to, derive from, or otherwise contain parts of the Confidential Information will be marked by the receiving party as

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Confidential Information. Access to and review of Confidential Information shall be strictly controlled by the terms of this Order.

(B) <u>Use of Confidential Information</u> -- Proceedings. All persons who may be entitled to review, or who are afforded access to any Confidential Information by reason of this Order shall neither use nor disclose the Confidential Information for purposes of business or competition, or any purpose other than the purpose of preparation for and conduct of proceedings in the above-captioned docket or before the Federal Communications Commission ("FCC"), and any subsequent appeals (collectively, the "Wire Center Docket"), and shall keep the Confidential Information secure as confidential or proprietary information and in accordance with the purposes, intent and requirements of this Order.

(C) <u>Persons Entitled to Review.</u> Each party that receives Confidential Information pursuant to this Order must limit access to such Confidential Information to (1) attorneys employed or retained by the party in this Wire Center Docket and the attorneys' staff; (2) experts, consultants and advisors who need access to the material to assist the party in this Wire Center Docket; (3) only those employees of the party who are directly involved in this Wire Center Docket, provided that counsel for the party represents that no such employee is engaged in the sale or marketing of that party's products or services. In addition, access to Confidential Information may be provided to Commissioners and all Commission Hearing Officers, and Commission advisory staff members and employees of the Commission to whom disclosure is necessary. Where Commission Staff act as advocates in a proceeding or in an adversarial role, disclosure of Confidential Information and Highly-Confidential Information to staff members and consultants employed by the staff shall be under the same terms and conditions as described herein for parties. - 3 -

(D) <u>Nondisclosure Agreement.</u> Any party, person, or entity that receives Confidential Information pursuant to this Order shall not disclose such Confidential Information to any person, except persons who are described in section 1(c) above and who have signed a nondisclosure agreement in the form which is attached hereto and incorporated herein as Exhibit "A." Court reporters shall also be required to sign an Exhibit "A" and comply with the terms of this Order.

The nondisclosure agreement (Exhibit "A") shall require the person(s) to whom disclosure is to be made to read a copy of this Protective Order and to certify in writing that they have reviewed the same and have consented to be bound by its terms. The agreement shall contain the signatory's full name, employer, business address and the name of the party with whom the signatory is associated. Such agreement shall be delivered to counsel for the providing party before disclosure is made, and if no objection thereto is registered to the Commission within three (3) business days, then disclosure shall follow. An attorney who makes Confidential Information available to any person listed in subsection (c) above shall be responsible for having each such person execute an original of Exhibit A and a copy of all such signed Exhibit "A"s shall be circulated to all other counsel of record promptly after execution.

2. (A) <u>Notes.</u> Limited notes regarding Confidential Information may be taken by counsel and experts for the express purpose of preparing pleadings, cross-examinations, briefs, motions and argument in connection with this proceeding, or in the case of persons designated in paragraph 1(c) of this Protective Order, to prepare for participation in this proceeding. Such notes shall then be treated as Confidential Information for purposes of this Order, and shall be destroyed after the final settlement or conclusion of this Wire Center Docket in accordance with subsection 2(b) below.

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(B) <u>Return.</u> All notes, to the extent they contain Confidential Information and are protected by the attorney-client privilege or the work product doctrine, shall be destroyed after the final settlement or conclusion of this Wire Center Docket. The party destroying such Confidential Information shall advise the providing party of that fact within a reasonable time from the date of destruction.

3. <u>Highly-Confidential Information:</u> Any person, whether a party or nonparty, may designate certain competitively sensitive Confidential Information as "Highly-Confidential Information" if it determines in good faith that it would be competitively disadvantaged by the disclosure of such information to its competitors. Highly-Confidential Information includes, but is not limited to, documents, pleadings, briefs and appropriate portions of deposition transcripts, which contain information regarding the market share of, number of access lines served by, or number of customers receiving a specified type of service from a particular provider or other information that relates to marketing, business planning or business strategies.

Parties must scrutinize carefully responsive documents and information and limit their designations as Highly-Confidential Information to information that truly might impose a serious business risk if disseminated without the heightened protections provided in this section. The first page and individual pages of a document determined in good faith to include Highly-Confidential Information must be marked by a stamp that reads:

"HIGHLY-CONFIDENTIAL—USE RESTRICTED PER PROTECTIVE ORDER IN DOCKET NO. 14-049-17."

Placing a "Highly-Confidential" stamp on the first page of a document indicates only that one or more pages contain Highly-Confidential Information and will not serve to - 5 -

protect the entire contents of a multi-page document. Each page that contains Highly-Confidential Information must be marked separately to indicate Highly-Confidential Information, even where that information has been redacted. The unredacted versions of each page containing Highly-Confidential Information, and provided under seal, should be submitted on paper distinct in color from non-confidential information and "Confidential Information" described in section 1 of this Protective Order.

Parties seeking disclosure of Highly-Confidential Information must designate the person(s) to whom they would like the Highly-Confidential Information disclosed in advance of disclosure by the providing party. Such designation may occur through the submission of Exhibit B. Parties seeking disclosure of Highly-Confidential Information shall not designate more than (1) a reasonable number of in-house attorneys who have direct responsibility for matters relating to Highly-Confidential Information; (2) five in-house experts; and (3) a reasonable number of outside counsel and outside experts to review materials marked as "Highly-Confidential." Disclosure of Highly-Confidential Information to Commissioners, Hearing Officers and Staff members shall be limited to persons to whom disclosure is necessary. The Exhibit "B" also shall describe in detail the duties or responsibilities of the person being designated to see Highly-Confidential Information and the person's role in the proceeding. Highly-Confidential Information making for any party, including the sale or marketing of products or services on behalf of any party.

Any party providing either Confidential Information or Highly-Confidential Information may object to the designation of any individual as a person who may review Confidential Information and/or Highly-Confidential Information. Such objection shall be made in writing to counsel submitting the challenged individual's Exhibit "A" or "B" within three (3) - 6 -

business days after receiving the challenged individual's signed Exhibit "A" or "B". Any such objection must demonstrate good cause to exclude the challenged individual from the review of Confidential Information and/or Highly-Confidential Information. Written response to any objection shall be made within three (3) business days after receipt of an objection. If, after receiving a written response to a party's objection, the objecting party still objects to the disclosure of either Confidential Information or Highly-Confidential Information to the challenged individual, the Commission shall determine whether the Confidential Information or Highly-Confidential Information

Copies of Highly-Confidential Information may be provided to the in-house attorneys, outside counsel and outside experts who have signed Exhibit "B". The in-house experts who have signed Exhibit "B" may inspect, review and make notes from the in-house attorney's copies of Highly-Confidential Information.

Persons authorized to review the Highly-Confidential Information will maintain the documents and any notes reflecting their contents in a secure location to which only designated counsel and experts have access. No additional copies will be made, except for use during hearings and then such disclosure and copies shall be subject to the provisions of Section 6. Any testimony or exhibits prepared that reflect Highly-Confidential Information must be maintained in the secure location until removed to the hearing room for production under seal and under circumstances that will ensure continued protection from disclosure to persons not entitled to review Highly-Confidential Information.

Unless specifically addressed in this section, all other sections of this Protective Order applicable to Confidential Information also apply to Highly-Confidential Information. - 7 -

4. <u>Objections to Admissibility.</u> The furnishing of any document, data, study or other materials pursuant to this Protective Order shall in no way limit the right of the providing party to object to its relevance or admissibility in proceedings before this Commission.

5. <u>Small Company Exemption</u>. Notwithstanding the restrictions in sections 1 and 3 applicable to persons who may access Confidential Information and/or Highly-Confidential Information, a Small Company may designate any employee or in-house expert to review Confidential Information and/or Highly-Confidential Information if the producing party, upon request, gives prior written authorization for that person to review Confidential Information and/or Highly-Confidential Information. If the producing party refuses to give such written authorization, the reviewing party may, for good cause shown, request an order from the Administrative Law Judge allowing a prohibited person(s) to review Confidential Information and/or Highly-Confidential Information. The producing party shall be given the opportunity to respond to the Small Company's request before an order is issued. "Small Company" means a party with fewer than 5000 employees, including the employees of affiliates' U.S. ILEC, CLEC, and IXC operations within a common holding company.

6. <u>Challenge to Confidentiality.</u> This Order establishes a procedure for the expeditious handling of information that a party claims is Confidential or Highly-Confidential. It shall not be construed as an agreement or ruling on the confidentiality of any document. Any party may challenge the characterization of any information, document, data or study claimed by the providing party to be confidential in the following manner:

(A) A party seeking to challenge the confidentiality of any materials pursuant to this Order shall first contact counsel for the providing party and attempt to resolve any differences by stipulation; - 8 -

(B) In the event that the parties cannot agree as to the character of the information challenged, any party challenging the confidentiality shall do so by appropriate pleading. This pleading shall:

(1) Designate the document, transcript or other material challenged in a manner that will specifically isolate the challenged material from other material claimed as confidential; and

(2) State with specificity the grounds upon which the documents,transcript or other material are deemed to be non-confidential by the challenging party.

(C) A ruling on the confidentiality of the challenged information, document, data or study shall be made by a Hearing Officer after proceedings <u>in camera</u>, which shall be conducted under circumstances such that only those persons duly authorized hereunder to have access to such confidential materials shall be present. This hearing shall commence no earlier than five (5) business days after service on the providing party of the pleading required by subsection 5(b) above.

(D) The record of said <u>in camera</u> hearing shall be marked "CONFIDENTIAL-SUBJECT TO PROTECTIVE ORDER IN DOCKET NO. 14-049-17." Court reporter notes of such hearing shall be transcribed only upon agreement by the parties or Order of the Hearing Officer and in that event shall be separately bound, segregated, sealed, and withheld from inspection by any person not bound by the terms of this Order.

(E) In the event that the Hearing Officer should rule that any information, document, data or study should be removed from the restrictions imposed by this Order, no party shall disclose such information, document, data or study or use it in the public

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record for five (5) business days unless authorized by the providing party to do so. The

provisions of this subsection are intended to enable the providing party to seek a stay or other

relief from an order removing the restriction of this Order from materials claimed by the

providing party to be confidential.

7. (A) <u>Receipt into Evidence.</u> Provision is hereby made for receipt into

evidence in this proceeding materials claimed to be confidential in the following manner:

- (1) Prior to the use of or substantive reference to any Confidential Information, the parties intending to use such Information shall make that intention known to the providing party.
- (2) The requesting party and the providing party shall make a good-faith effort to reach an agreement so the Information can be used in a manner which will not reveal its trade secret, confidential or proprietary nature.
- (3) If such efforts fail, the providing party shall separately identify which portions, if any, of the documents to be offered or referenced shall be placed in a sealed record.
- (4) Only one (1) copy of the documents designated by the providing party to be placed in a sealed record shall be made.
- (5) The copy of the documents to be placed in the sealed record shall be tendered by counsel for the providing party to the Commission, and maintained in accordance with the terms of this Order.
  - (B) <u>Seal.</u> While in the custody of the Commission, materials

containing Confidential Information shall be marked "CONFIDENTIAL – SUBJECT TO

PROTECTIVE ORDER IN DOCKET NO. 14-049-17" and Highly-Confidential Information

shall be marked "HIGHLY CONFIDENTIAL—USE RESTRICTED PER PROTECTIVE

ORDER IN DOCKET NO. 14-049-17" and shall not be examined by any person except under

the conditions set forth in this Order.

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(C) <u>In Camera Hearing</u>. Any Confidential Information or Highly-Confidential Information that must be orally disclosed to be placed in the sealed record in this proceeding shall be offered in an <u>in camera</u> hearing, attended only by persons authorized to have access to the information under this Order. Similarly, any cross-examination on or substantive reference to Confidential Information or Highly-Confidential Information (or that portion of the record containing Confidential Information or Highly-Confidential Information or references thereto) shall be received in an <u>in camera</u> hearing, and shall be marked and treated as provided herein.

(D) <u>Access to Record.</u> Access to sealed testimony, records and information shall be limited to the Hearing Officer and persons who are entitled to review Confidential Information or Highly-Confidential Information pursuant to subsection 1(c) above and have signed an Exhibit "A" or "B", unless such information is released from the restrictions of this Order either through agreement of the parties or after notice to the parties and hearing, pursuant to the ruling of a Hearing Officer, the order of the Commission and/or final order of a court having final jurisdiction.

(E) <u>Appeal/Subsequent Proceedings.</u> Sealed portions of the record in this proceeding may be forwarded to any court of competent jurisdiction for purposes of an appeal or to the FCC, but under seal as designated herein for the information and use of the court or the FCC. If a portion of the record is forwarded to a court or the FCC, the providing party shall be notified which portion of the sealed record has been designated by the appealing party as necessary to the record on appeal or for use at the FCC.

(F) <u>Return.</u> Unless otherwise ordered, Confidential Information and Highly-Confidential Information, including transcripts of any depositions to which a claim of - 11 -

confidentiality is made, shall remain under seal, shall continue to be subject to the protective requirements of this Order, and shall, at the providing party's discretion, be returned to counsel for the providing party, or destroyed by the receiving party, within thirty (30) days after final settlement or conclusion of this Wire Center Docket. If the providing party elects to have Confidential Information or Highly-Confidential Information destroyed rather than returned, counsel for the receiving party shall verify in writing that the material has in fact been destroyed.

8. <u>Use in Pleadings.</u> Where references to Confidential Information or Highly-Confidential Information in the sealed record or with the providing party is required in pleadings, briefs, arguments or motions (except as provided in section 6), it shall be by citation of title or exhibit number or some other description that will not disclose the substantive Confidential Information or Highly-Confidential Information contained therein. Any use of or substantive references to Confidential Information or Highly-Confidential Information shall be placed in a separate section of the pleading or brief and submitted to the Hearing Officer or the Commission under seal. This sealed section shall be served only on counsel of record and parties of record who have signed the nondisclosure agreement set forth in Exhibit "A" or "B". All of the restrictions afforded by this Order apply to materials prepared and distributed under this section.

9. <u>Summary of Record.</u> If deemed necessary by the Commission, the providing party shall prepare a written summary of the Confidential Information or Highly-Confidential Information referred to in the Order to be placed on the public record.

10. The provisions of this Order are specifically intended to apply to all data, documents, studies, and other material designated as confidential or highly-confidential by any party to Docket No. 14-049-17. The provisions are also intended to apply to all data, documents, studies and other material designated as confidential or highly-confidential by any non-party that

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provides such material in response to data requests in this docket, whether it is provided voluntarily or pursuant to subpoena.

11. This Protective Order shall continue in force and effect after this Docket is

closed.

DATED at Salt Lake City, Utah, this 26<sup>th</sup> day of June, 2014.

/s/ Ron Allen, Chairman

/s/ David R. Clark, Commissioner

/s/ Thad LeVar, Commissioner

Attest:

/s/ Gary L. Widerburg Commission Secretary DW#257535

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# EXHIBIT "A"

# CONFIDENTIAL INFORMATION

I have read the foregoing Protective Order dated June 26, 2014, in Docket No. 14-

049-17 and agree to be bound by the terms and conditions of this Order.

Name (Type or Print)

Employer or Firm

Job Title and Job Description

**Business Address** 

Party Represented

Signature

Date Signed

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## EXHIBIT "B"

# HIGHLY-CONFIDENTIAL INFORMATION

I have read the foregoing Protective Order dated June 26, 2014, in Docket No. 14-

049-17 and agree to be bound by the terms and conditions of this Order.

Name (Type or Print)

Employer or Firm

Job Title and Job Description

**Business Address** 

Party Represented

Signature

Date Signed

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#### CERTIFICATE OF SERVICE

I CERTIFY that on the 4<sup>th</sup> day of September, 2013, a true and correct copy of the foregoing PROTECTIVE ORDER was served upon the following as indicated below:

By E-Mail:

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