To file a Water Rate Case with the Public Service Commission (PSC)

(*Prepared by the Utah Division of Public Utilities (DPU)*)

Save As

Save your form button. Please note where the file was saved and the file name so that you can send it as an email attachment.

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Section 1

Checklist to File a Request for a Rate Increase with the PSC

To assist you, the DPU has prepared this checklist and interactive PDF file based on the requirements in ***Utah Admin. Code R746-700-50, Information for a General Rate Case Application for a Water Corporation.***

For more filing requirements, go to the PSC website at: https://psc.utah.gov/psc-filing-requirements/

☑ At least 30 days before filing a general rate case application with the PSC, you must file with the PSC a non-binding notification of your intent to file a request to raise rates and the anticipated filing date of the application. The notification should be emailed to the PSC at psc@utah.gov.

See Exhibit 1

☑ The Applicant must be current with all filing requirements. If the applicant is not in compliance, the applicant must first submit all missing Annul Water Reports prior to submitting a general rate case application.

1. Name of applicant (Company name): Legacy Sweetwater Inc.

2. Principal office address, phone number, and email address:

   Address: 3301 N. Thanksgiving Way Floor 5

   Address: _____

   City: Lehi State: UT ZIP: 84045

   Phone: 8009733715 Email: maggie@tranont.com

3. Contact Person:

   Phone: 8017871081 Email: dave@legacymountain.com

Section 2

General Information Needed

Please provide the answers to the following questions on this form. Provide attachments as requested.

☑ Submit your latest Division of Drinking Water Certification/Report. **(Requires Attachment)**

See Exhibit 2

☑ Certificate of Public Convenience and Necessity (CPCN) number granted by the PSC: UTAH20070

Note: The CPCN number can be found on your Annual Water Report filed with the PSC.

☑ Date the utility started operation: Sept 26, 2006

☑ Current service area map. **(Requires Attachment)**

See Exhibit 3

☑ Total Number of Connections currently in operation: 159

Total Connected: 70 Total Standby: 89

☑ The officers and directors (or partners) of the applicant are as follows:

Name	Title	Phone #	Email
Russ Losee	President	8013800875	russ@tranont.com
Dave Anderson	Vice President	8017871081	dave@legacymountain.com
Shane Berry	VP Operations	8013622771	shane@legacymountain.com
Maggie Peterson	Secretary	8009733715	maggie@tranont.com

☐ Associated companies (if any).

☑ Attach a copy of your current tariff. **(Requires Attachment)**

See Exhibit 4
Redlined Tariff is Exhibit 17
New Tariff is Exhibit 18
Compare Tariff Rates Exh 19

Section 3

Engineering Information Needed

Please provide the following information.

1. Source of water supply:

   Oscar Anderson Ditch - North Creek Irrigation Company
   Little Northfield Ditch

2. Information for all wells (general description):

   Active Well is Well #2

3. Mains and meters information:

   1" Mains and Meters

4. Reservoirs information:

   2-20,000 gallon burried holding tanks

5. Storage capacity:

   40,000 gallons

6. List any disruption in service in the past two years and the remedy to correct it:

   Pump went out which we replaced
   Booster pump went out which we replaced
   Break in lines fixed

7. Briefly describe the water system's overall service history:

   PRV's serviced and cleaned out annually, Pump & well houses cleaned and painted annually. Water test monthly. Continuous finding and fixing breaks in lines.

8. Briefly list any significant additions or improvements in the last five years:

   New booster pump in well house #2
   New well pump
   New meters, gauges, check valves across the mountain

9. Briefly list any anticipated major additions or improvements in the last five years:

   New pump house fix
   New booster pump
   Upgrade and service on all PRV's

10. Describe any efforts the Company has undertaken to encourage conservation:

    Don't allow water to be used for landscaping on the mountain

11. Is secondary water distributed through the culinary system? If "yes," please explain:

    N/A

Section 4

Accounting and Financial Data

Please provide the prior two complete years and current information up to the date of the general rate case. The Annual Report may be useful in providing the financial data. Please provide attachments as requested.

☑ Contact information for any accountant used by the utility or used to prepare this rate case:

Phone: 385-231-8754 Email: brendan@tranont.com

☑ 1. Copies of the General Ledger or equivalent. (If you do not have a general ledger, please describe how your accounting system works on a separate page.)

(Requires Attachment) (175 pages) Electronic Attached

See Exhibit 5

☑ 2. Copies of the Balance Sheet or equivalent. (The Balance Sheet should be in the same or similar format as the Balance Sheet on your Annual Water Report. The reported numbers should match your Annual Water Report.)

(Requires Attachment) See Exhibit 6

☑ 3. Copies of the Income Statement or equivalent. (The Income Statement should be in the same or similar format as the Income Statement on your Annual Water Report. The reported numbers should match your Annual Water Report.)

(Requires Attachment) See Exhibit 7

☑ 4. Pro Forma Income Statement or the equivalent, such as a requested rate structure, including:

a. the prior two years of revenues and expenses, and
b. projected revenues and expenses.

Expenses should be provided in the same or similar format as the Income Statement in your Annual Water Report. **(Requires Attachment)**

See Exhibit 8

☑ 5. A copy of the utility's check register or equivalent. (Bank statements may be needed upon request.) **(Requires Attachment)** See Exhibit 9

☑ 6. Billing documentation/reports tied back to the tariff rates. **(Requires Attachment)**

Billing documentation ensures that the rates listed on your approved tariff are used.

See Exhibit 10

☑ 7. Please provide for each piece of your utility property, plant, and equipment. *(Note: This is your depreciation schedule. The tab labeled 'Assets and Depreciation (INPUT) on your Annual Water Report contains all the required information.)*

a. Acquisition date
b. Acquisition price or cost
c. Salvage value
d. Expected useful life
e. Annual depreciation amount per asset
f. Accumulated depreciation per asset and reconciled to the total accumulated depreciation amount to the most recent Annual Report. (If these amounts do not match the most recent Annual Report, provide detailed explanations for any needed adjustments.)

(Requires Attachment) See Exhibit 11

☑ 8. If an asset was donated, provide the amount applied to Contribution in Aid of Construction (CIAC) per each asset. The amount should match the line item in your depreciation schedule.

(Note: Since CIAC can be confusing if you are not familiar with it; the Division can assist you during the rate case process to ensure that it is correctly accounted for.)

(Requires Attachment) See Exhibit 12

☐ 9. Projected future asset purchases for the next three years, providing the estimated acquisition date and price, including Division of Drinking Water requirements, engineering reports, certified bids, loan options, etc.

(Requires Attachment) See Exhibit 13

☐ 10. Information on all Notes Payable, Loans, and other Obligations. This includes all outstanding debt and any Company debt retired within the past two years, including:

a. Interest rate
b. Beginning date
c. Date of last scheduled payment (the Loan pay-off date)
d. Amount of payment

(Requires Attachment)

Section 5 Customer Notification Information

Please provide a copy of any correspondence sent to customers notifying them that the utility is seeking a rate increase.

☑ **(Requires Attachment)** See Exhibit 14

Section 6

Narrative/Testimony

In addition to the above information, the Company should include a Narrative/Testimony containing the following information:

☐ **(Requires Attachment)**

a. The full legal name of the Water Company.
b. The number of connections the Water Company has been approved for.
c. The number of connections and standby customers the Company has now.
d. A statement that the Company is seeking a rate increase.
e. The new rates and fees the Company is seeking. See Exhibit 15 - Rate Calculations
f. An explanation of why the Company is seeking a rate increase.
g. The last time the Company filed for a rate increase.
h. A chart listing all rates. Charges and fees contained in the Company's currently approved tariff with a column showing all rates, charges, and fees for which it proposes changes.
i. Any additional information the Company deems important.

See Exhibit 16 - Company Testimony

See Exhibit 20 - Overview of Area See Exhibit 21 - Pictures of Cabins

Other items to remember:

See Exhibit 22 - Mark Long's Testimony

- Your narrative/testimony should be in MS WORD.
- Documents must be filed in original format.
- Your attachments must be in MS WORD or MS EXCEL. Most accounting programs have the option to save its data to MS EXCEL; please use this option.
- A filing is not complete until all required copies are provided to the PSC via email at psc@utah.gov.

Note: *Filings with the PSC are public documents and will be published on the PSC's website. Sensitive information should be redacted in your filing. The PSC will need unredacted information for its review but will not file the unredacted information publicly.*